

Asymmetric Phenol Oxidation. Stereospecific and Stereoselective Oxidative Coupling of a Chiral Tetrahydronaphthol¹

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The study of the factors which determine the intermolecular asymmetric phenol oxidation shows the importance of the stereochemical control exerted by asymmetry in the substrate. The oxidative coupling of (*S*)-(+)-2-hydroxy-3,4,8-trimethyl-5,6,7,8-tetrahydronaphthalene ((*S*)-(+)-1) resulted in the completely stereoselective formation of the optically active dinaphthol (*S,S*)-(+)-*trans*-2a. The results argue against the necessity of an asymmetric oxidant in intermolecular phenol coupling reactions. The synthesis of enantiomerically pure (*S*)-(+)-1 was completed by a six-step route from racemic 7-methoxy-5,6-dimethyl-1,2,3,4-tetrahydro-1-naphthoic acid ((*R,S*)-16), which was resolved via its (+)-dehydroabietylamine salts. The chiroptical data of the tetrahydronaphthols 1, 10, 16, and 23-25 and of dinaphthol 2a are presented. Diastereomeric charge-transfer interactions, which resulted in long-range asymmetric influences, were observed in amides 27 and 29.

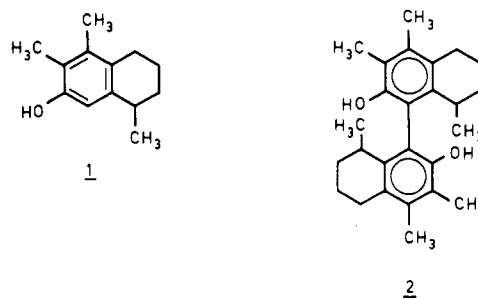
During the elucidation of key steps in natural phenol oxidation processes, a great deal of effort was focussed on the stereochemistry of the oxidation products especially in relationship with enzyme stereospecificity in the coupling step.² The early suggestions that the "in vivo" asymmetric phenol oxidation, in which the enzyme is the chiral agent, is probably common to several biosynthetic coupling pathways could not clearly be confirmed experimentally. Lack of stereospecificity in "in vivo" oxidations was attributed to a coupling reaction outside the active site of the enzyme.^{2,3} These interpretations were mainly based on a free-radical mechanism of phenol coupling.

Numerous examples of natural phenol oxidation products are known in which the elements of chirality are introduced during the coupling step.

Stereochemical control could also be exerted by the asymmetric centers present in the substrate. The stereospecific chemical oxidation of reticuline to salutaridine is an example of the stereochemical control found in the intramolecular oxidative conversions of chiral 1-benzylisoquinolines.^{2,4} Bobbitt and co-workers, in an elegant study of the intermolecular oxidative coupling of isoquinolines, reported the stereospecific and stereoselective chemical and electrochemical dimerization of 1,2-dimethyl-7-hydroxy-6-methoxy-1,2,3,4-tetrahydroisoquinoline.⁵

This paper reports the details of the synthesis and the stereospecific and stereoselective intermolecular oxidative coupling of a chiral tetrahydronaphthol.

The following requirements had to be met with respect to the choice of the substrate 3,4,8-trimethyl-5,6,7,8-tetrahydro-2-naphthol (1): (i) C-C coupling must be possible; (ii) there must not be a diversity of coupling modes; (iii) the new chirality must be created during the coupling step; (iv) no epimerization must take place at any of the chiral centers in the product under the reaction



conditions. Model studies indicate that steric hindrance in 2 is large enough to prevent fast rotation around the C₁C_{1'} biaryl bond and to create a barrier for rotation comparable to the one in 2,2'-dihydroxy-1,1'-dinaphthyl.

Results and Discussion

Synthesis of *dl*-3,4,8-Trimethyl-5,6,7,8-tetrahydro-2-naphthol (1). The synthesis of *dl*-1 is pictured in Scheme I. The first four steps were performed in analogy to the routes as described by Lars and co-workers⁶ and Fieser and Hersberg.⁷

The acid 6 was cyclized with polyphosphoric acid to 5,6-dimethyl-7-methoxy- α -tetralone (7). A Grignard reaction using methyl iodide yielded carbinol 8, which was readily dehydrated with iodine to the dihydronaphthalene 9.

During the dehydration small amounts of naphthalene derivative 12 were formed. Catalytic hydrogenation with H₂ and 5% Pd/C afforded *dl*-10, which was converted into *dl*-1 by means of hydroiodic acid in acetic acid. Analytically pure *dl*-1 was obtained by chromatography followed by crystallization. The structures of the compounds are based on the synthetic route and are consistent with analytical and spectroscopic data. The IR spectrum of *dl*-1 (mp 88.5-89.5 °C) showed the characteristic hydroxyl absorption at 3300 cm⁻¹. In the ¹H NMR spectrum, a doublet was present for the C₈ methyl protons (δ 1.12), a multiplet for the cyclohexane H's (δ 1.5-2.8), two singlets for the C₃ and C₄ methyl H's (δ 2.07, 2.09), and singlets for the OH (δ 5.25) and aromatic protons (δ 6.3) in agreement with structure 1.

Synthesis of (*S*)-(+)-3,4,8-Trimethyl-5,6,7,8-tetrahydro-2-naphthol ((*S*)-(+)-1). Attempted Resolution of *dl*-1 via Diastereomeric Derivatives. For the resolution of *dl*-1 a route was chosen in which *dl*-1 was con-

(1) Partially published in a preliminary communication: B. Feringa and H. Wynberg, *J. Am. Chem. Soc.*, **98**, 3372 (1976).

(2) (a) W. I. Taylor and A. R. Battersby, Eds., "Oxidative Coupling of Phenols", Marcel Dekker, New York, 1967; (b) H. Erdtman and C. A. Wachtmeister, "Festschrift A. Stoll", Birkhauser Verlag, Basle, Switzerland, 1957, p 144; (c) D. H. R. Barton and T. Cohen, *ibid.*, p 117; (d) A. I. Scott, *Q. Rev., Chem. Soc.*, **19**, 1 (1965).

(3) Asymmetric phenol oxidation with a chiral oxidant has recently been achieved: B. Feringa and H. Wynberg, *Bioorg. Chem.*, **7**, 397 (1978).

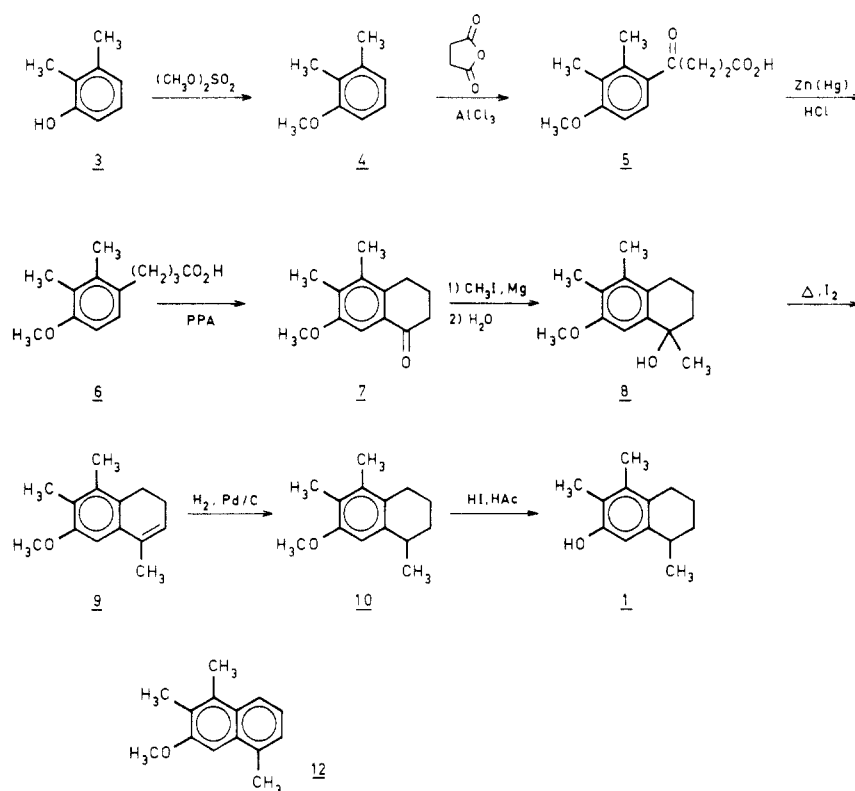
(4) T. Kametani and K. Fukumoto, *Synthesis*, 657 (1972).

(5) J. M. Bobbitt, K. H. Weisgraber, A. S. Steinfeld, and S. G. Weiss, *J. Org. Chem.*, **35**, 2884 (1970); M. Tomita, Y. Masaki, and K. Fujitani, *Chem. Phar. Bull.*, **16**, 257 (1968); J. M. Bobbitt, I. Noguchi, H. Yagi, and K. H. Weisgraber, *J. Am. Chem. Soc.*, **93**, 3551 (1971); J. M. Bobbitt, I. Noguchi, H. Yagi, and K. H. Weisgraber, *J. Org. Chem.*, **41**, 845 (1976); G. G. Lyle, *ibid.*, **41**, 850 (1976).

(6) J. Lars, G. Nilsson, H. Selander, H. Sievertsson, and J. Skanberg, *Acta Chem. Scand.*, **24**, 580 (1970).

(7) L. F. Fieser and E. B. Hersberg, *J. Am. Chem. Soc.*, **58**, 2314 (1936).

Scheme I. Synthesis of Racemic Trimethyl-5,6,7,8-tetrahydro-2-naphthol

Table I. Chiral Derivatives of (*R,S*)-1 (Mixture of Diastereoisomers)

no.	compd	mp, °C	$[\alpha]_{578}^{22}$
13		— (oil)	61.0° (c 0.54, CH ₃ OH)
14		3–5	67.1° (c 0.37, C ₂ H ₅ OH)
15		120–126	64.7° (c 0.26, C ₂ H ₅ OH)

verted into a mixture of diastereomeric esters, followed by separation of the esters into diastereoisomers and hydrolysis to *d*-1 and *l*-1. The esters 13–15 (Table I) were prepared from the corresponding enantiomeric pure acid chlorides and *dl*-1. The 1-menthoxyacetic acid ester of *dl*-1 was an oil that failed to crystallize. The *d*-camphor-10-sulfonic acid ester of *dl*-1 was an oil at 20 °C, and although at 0 °C a solid was obtained, no separation into the diastereoisomers by means of crystallization could be achieved.

The ester 15 was a crystalline compound. Several crystallizations from ethanol showed only small changes in the rotation of 15, and hydrolysis of 15 after three crystallizations yielded 1 which had a small optical activity ($[\alpha]_{578} -0.4^\circ$, ethanol). These results indicate that resolution of *dl*-1 via diastereomeric derivatives 13, 14, or 15 is not promising since enantiomerically pure 1 is necessary

in studying the oxidation of 1. Chromatographic separation of the diastereoisomers of 13–15 was not successful.

Synthesis of *dl*-5,6-Dimethyl-7-methoxy-1,2,3,4-tetrahydro-1-naphthoic Acid (*dl*-16). Compound 16 was chosen as a target molecule for the synthesis of enantiomeric pure 1.

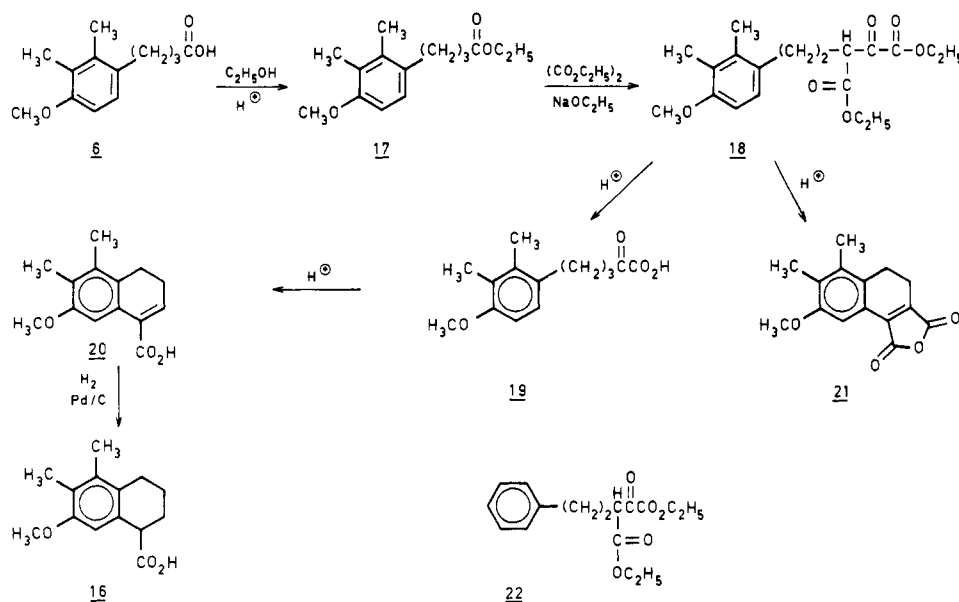
The synthesis of *dl*-16 is pictured in Scheme II. The acid 6 was almost quantitatively converted into the ethyl ester 17. Condensation of 17 with diethyl oxalate by using sodium ethoxide furnished 18. Hydrolysis and subsequent decarboxylation of 18 with 5% H₂SO₄ yielded α -keto acid 19, which was converted into 20 by prolonged heating with 5% H₂SO₄ solution or by cyclization with 65% H₂SO₄. A complication during the hydrolysis and decarboxylation steps of 18 was the cyclization to anhydride 21. When 15% H₂SO₄ or more concentrated H₂SO₄ solutions were used, as for the decarboxylation of 22,⁸ the cyclization to 21 was the main reaction (80% of 21 formed).

Compound 20 (mp 189–190 °C) showed in the ¹H NMR spectrum singlets for the aromatic H (δ 7.24), the carboxylic H (δ 10.5), and the methoxy H's (δ 3.74) and, furthermore, a triplet for the olefinic proton (δ 7.21). Hydrogenation of 20 using H₂ and 5% Pd/C yielded *dl*-16, mp 161–162.5 °C. The ¹H NMR spectrum of *dl*-16 showed singlets for the OCH₃ protons (δ 3.73), the aromatic H (δ 6.58), and the carboxylic H (δ 10.2). All compounds described had spectral data in agreement with the assigned structures.

(*S*)-(-)-5,6-Dimethyl-7-methoxy-1,2,3,4-tetrahydro-1-naphthoic Acid ((*S*)-(-)-16). The tetrahydronaphthoic acid *dl*-16 was resolved via its diastereomeric (+)-dehydroabietylamine salts (DHAA salts) by fractional crystallization. Hydrolysis of the salt ($[\alpha]_{578}^{20} +23.05^\circ$) with 50% acetic acid solution afforded the (-)-acid 16

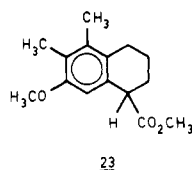
(8) L. F. Fieser and H. L. Holmes, *J. Am. Chem. Soc.*, **58**, 2319 (1936).

Scheme II. Synthesis of Racemic 5,6-Dimethyl-7-methoxy-1,2,3,4-tetrahydro-1-naphthoic Acid

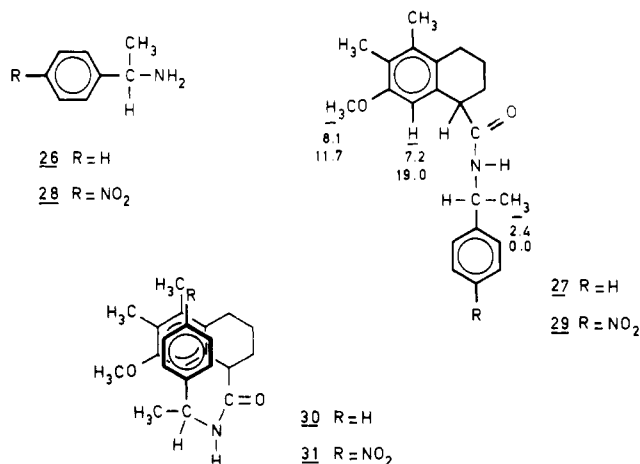


($[\alpha]_{578}^{22} -16.8^\circ$) in an overall yield of 6.1% from *dl*-16.

The enantiomeric excess (ee) of 16 could not be determined by means of ^1H NMR of the (+)-DHAA salts. ^1H NMR of 23 with the chiral europium shift reagent $\text{Eu}(d\text{-tfacCam})_3^9$ did not give an accuracy better than 15% ee in the determination.



The accurate enantiomeric excess was determined by means of ^1H NMR of amides 27. *dl*-16 and *l*-16 were converted quantitatively into the amide 27 with 1-phenylethylamine (26). Literature reports¹⁰ on the use



of 26 in determinations of the enantiomeric excess of carboxylic acids via diastereomeric amides indicate that usually separation of amine methyl proton absorptions occurs. Similar observations were made in the 100-MHz ^1H NMR spectra of 27 prepared from *dl*-16; two well-separated doublets ($\Delta\delta = 2.4$ Hz) were observed. In the

amide 27 prepared from *l*-16 only one of the doublets was present. Accurate analysis of the spectrum indicated a >97.5% ee.

Comments on the NMR Spectra of 27 and 29. Typical features were observed in the 100-MHz NMR spectrum of 27. The peak separations ($\Delta\delta$ in hertz) for several protons are indicated in structure 27. The peak separations for the specified protons denote the difference in ^1H NMR absorption for these protons in the diastereoisomers of 27 as well as of 29. The largest separation was observed for the proton absorption of the OCH_3 group, which is relatively far from the asymmetric centers. A specific conformation, probably a folded one as indicated in structure 30, could account for the large diastereomeric influence at the OCH_3 group. Many reports exist dealing with folded conformations in solution, and when aromatic rings are involved in these molecules, charge-transfer interactions are possible.¹¹

Further support for the idea that interactions between the aromatic moieties in a folded conformation determine to some extent the difference between the diastereoisomers was found in the ^1H NMR spectrum of 29. The shift differences of the diastereoisomers 29 indicate that a folded conformation (31) could be present. Stronger interactions of the *p*-nitro-substituted aromatic ring with the aromatic moiety of the tetrahydronaphthalene system, which contains five electron-donating substituents, can be expected. Examples of diastereomeric charge-transfer interactions have been published.¹² The fact that larger diastereomeric

(11) For a literature survey, see: R. M. Tel, Ph.D. Thesis, Groningen University, 1977, Chapter I; R. van Est-Stammer and J. B. F. N. Engberts, *Tetrahedron Lett.*, 3215 (1971).

(12) H. Wynberg and K. Lammertsma, *J. Am. Chem. Soc.*, **95**, 7912 (1973).

(13) Extended studies have been published concerning absolute configuration correlations and interpretation of ORD and CD Cotton effects of aromatic chromophores.¹⁴ The quadrant rule for the ^1Lb transition (Kuriyama and co-workers¹⁵), the quadrant rule for the ^1La transition (De Angelis and Wildeman¹⁶), and the general helicity and sector rules (Sznatke and co-workers¹⁷) describe the correlations for "benzylic centers of asymmetry".

(14) F. Ciardelli and P. Salvadori, Eds., "Fundamental Aspects and Recent Developments in ORD and CD", Heyden and Son Ltd., London, 1973.

(15) K. Kuriyama, T. Iwata, K. Moriyama, K. Kotera, Y. Hamada, R. Mitsui, and K. Takeda, *J. Chem. Soc. B*, 46 (1967).

(16) H. H. DeAngelis and W. C. Wildman, *Tetrahedron*, **25**, 5099 (1969).

(9) M. D. McCreary, D. W. Lewis, D. L. Wernick, and G. M. Whitesides, *J. Am. Chem. Soc.*, **96**, 1038 (1974).

(10) J. Jacobus and M. Raban, *J. Org. Chem.*, **33**, 1142 (1968).

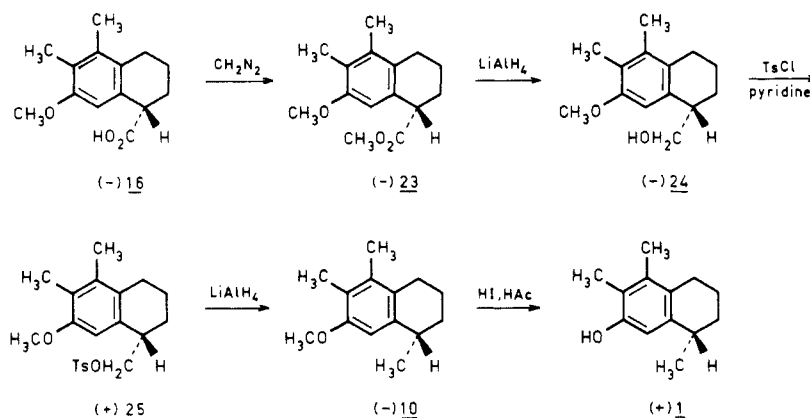
Scheme III. Synthesis of (*S*)-(+)-Trimethyl-5,6,7,8-tetrahydro-2-naphthol

Table II. Melting Points, UV Data, and Chiroptical Properties of 1, 10, 16, and 23–25

compd	mp, °C		[α] ²² ₅₇₈ , deg	UV (95% EtOH)		ORD λ (10 ⁻³ [Φ])	CD λ (10 ⁻³ [Θ])
	<i>R,S</i> isomer	<i>S</i> isomer		λ _{max}	log ε		
16	161.5–162.5	125–127	-16.8 (c 0.99, CHCl ₃)	287 3.37 279 3.36 224 4.04	310 (-0.9), 287 (-1.8), 277 (0), 264 (-1.7), 240 (-10.7), 232 (0), 225 (+10.5), 220 (+3.65), 207 (+14.0)	290 (-0.9), 232 (-15.2), 225 (-12.4), 206 (-22.4), 205 (-17.8)	
23	40–44	63.5–64.5	-17.5 (c 0.32, CHCl ₃)	288 3.40 279 3.37 225 4.04	308 (-0.6), 278 (-1.1), 268 (-0.2), 239 (-8.6), 233 (0), 225 (+16.1), 219 (+11.3), 210 (+22.7)	284 (-1.2), 233 (-18.7), 220 (-7.5), 210 (-14.2), 206 (-7.6)	
24	100.5–101.5	124.5–125.5	-13.8 (c 0.52, CHCl ₃)	286 3.36 279 3.35 222 4.07	330 (+0.9), 296 (0), 287 (-1.0), 274 (+1.35), 248 (0), 232 (-4.3), 228 (0), 210 (+25.0)	278 (-3.0), 268 (-2.3), 250 (-0.6), 229 (-10.1), 218 (-4.0), 213 (-9.4), 207 (+8.2), 209 (0)	
25	94–96	88–89	+22.1 (c 0.28, CHCl ₃)	286 3.36 279 3.35 223 4.34	320 (-0.4), 288 (-1.1), 278 (0), 260 (+2.0), 251 (+1.75), 239 (0), 231 (-1.0), 227 (0), 225 (+8.2)	278 (-1.6), 250 (0), 232 (-7.8), 222 (+2.2), 214 (+7.8), 210 (0), 208 (+9.1)	
10	125–135 ^a (0.8–0.9 mm)	-	-14.5 ^b (c 0.36, CHCl ₃)	286 3.34 279 3.33 222 4.04	318 (-0.8), 287 (-2.1), 278 (-1.2), 269 (0), 261 (+0.5), 249 (0), 236 (-4.3), 225 (0), 215 (+14.0)	277 (-2.5), 248 (0.3), 230 (-15.3), 218 (-15.5), 208 (0), 207 (+3.8)	
1	88.5–89.5	-	+14.8 (c 0.30, C ₂ H ₅ OH)	286 3.30 283 3.29 224 3.88	280 (+2.3 sh), 260 (+4.8), 238 (+3.9, sh), 224 (+0.3), 210 (+1.2)	279 (-3.3), 242 (0), 228 (+3.6), 216 (0), 212 (-1.6)	

^a Boiling point. ^b [α]²²₄₃₆.

differences are observed at a larger distance from the asymmetric centers could be of interest in elucidating the mechanism of asymmetric synthesis.

Synthesis of (*S*)-(+)-1. The conversion of (-)-16 into (+)-1 is summarized in Scheme III. The esterification with diazomethane was followed by reduction to alcohol 24. Reaction of 24 with *p*-toluenesulfonic acid chloride furnished 25. This compound was reduced to 10. Bond fission of the ether with hydroiodic acid yielded (+)-1. The overall yield was 3.2% starting from 3. The spectral data for these compounds were in agreement with the structures (partly summarized in Table II). Furthermore, the optically active compounds were identical in all respects (except for melting points and rotations) to the racemic compounds prepared independently.

Chiroptical Properties of 1, 10, 16, and 23–25. The specific rotations and ORD/CD data are summarized in Table II. (+)-3,4,8-Trimethyl-5,6,7,8-tetrahydro-2-naphthol ((+)-1) was prepared from enantiomerically pure (-)-16. Although every contact with strong bases was avoided and the reaction conditions were as mild as possible, the possibility of some racemization during one of

the five steps necessary for the conversion of (-)-16 into (+)-1 could not be excluded. An attempt to determine the enantiomeric excess of 10 by using chiral Eu(*d*-tfacCam)₃ failed. Attempts to separate chromatographically the two diastereomeric *l*-menthoxyacetic acid esters of *dl*-1, in order to obtain a method for the determination of the enantiomeric excess of 1, were not successful. In the dimerization of *d*-1 (see next sections), no products resulting from a coupling of *d*-1 with *l*-1 were observed. On the basis of these results, it could be concluded that *d*-1 was enantiomerically pure and that no racemization during the conversion of *l*-16 into *d*-1 had occurred.

The UV spectra of 1, 10, 16, and 23–25 all showed the characteristic absorptions of the aromatic rings. The weak ¹Lb band at 260–280 nm, a shoulder at 200–230 nm (¹La), and a strong absorption at 180–190 nm (¹Ba) were observed. These characteristics were also found in the ORD/CD spectra (Table II). The absolute configurations of the compounds were determined by using the correlations of the sign of the Cotton effects in the ORD and CD spectra with those of known compounds; chemical correlation was also used.

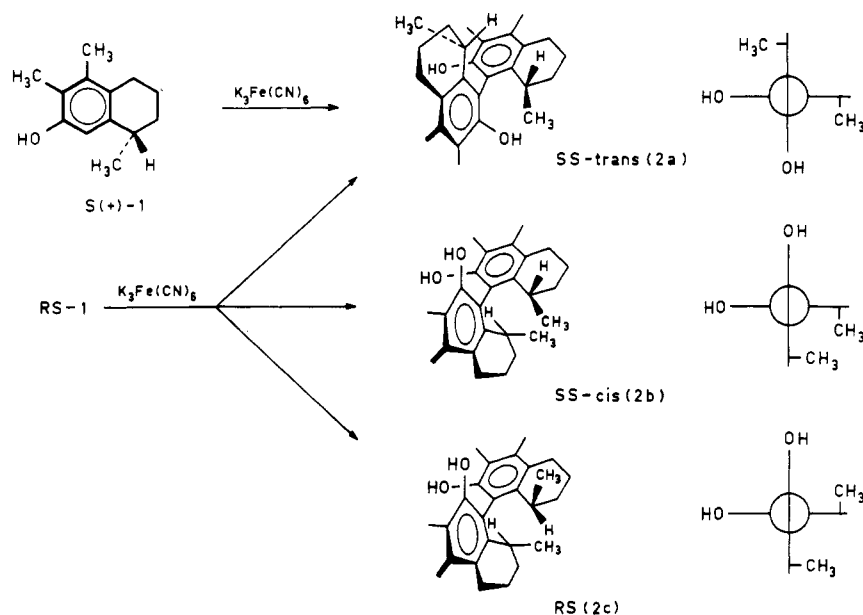
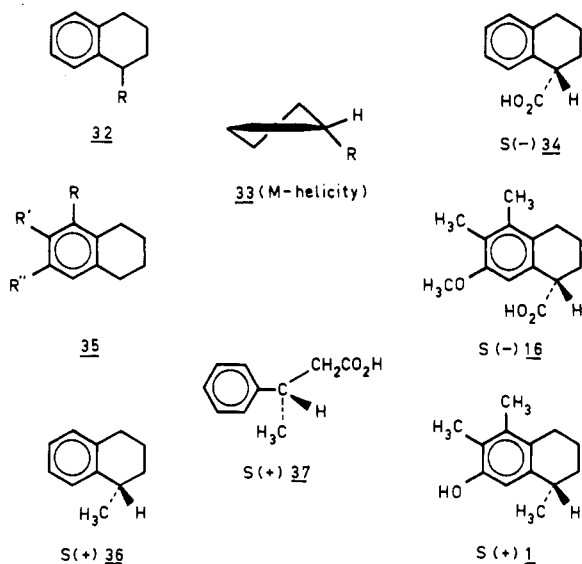
Scheme IV. Oxidative Coupling of (*S*)-(+)- and (*R,S*)-1

Chart I



Molecules of type 32 (Chart I) adopt a half-chair conformation in which the substituent R for the case of CH₃ or CO₂H is in a pseudoaxial position.¹⁸ *M* helicity as pictured in structure 33 was established for (*S*)-(-)-carboxylic acid 34 on the basis of the sector rule for the chiral 2° sphere and the observed negative ¹Lb Cotton effect. Chemical correlation of (*S*)-(-)-34 with D-glyceraldehyde unequivocally established the absolute configuration. (-)-16 showed a negative ¹Lb band in the CD spectrum and was therefore related to (*S*)-(-)-34. A substitution pattern for the chiral 2° sphere as indicated in 35 gave rise to *M* helicity for negative ¹Lb Cotton effects on the basis of the sector rules,¹⁷ although no exact substituent influences could be determined.

On the basis of these helicity and sector rules¹⁷ in analogy to the determination of the configuration of

(*S*)-(-)-16, an *S* configuration and *M* helicity as shown in Scheme III and 33 could be established for (+)-1. A correlation with (*S*)-(+)-36 was made. For both compounds, (*S*)-(+)-36 and (*S*)-(+)-1, a negative ¹Lb Cotton effect was observed. (*S*)-(+)-36 was chemically correlated with (*S*)-(+)-37 of known absolute configuration. The chemical correlation as shown in Scheme III established an *S* configuration for (+)-1 obtained by starting with (*S*)-(-)-16 and therefore independently correlates the configuration. Thus, the configurations of 23–25 and 10 are therefore established.

Oxidation of (*S*)-(+)-1 and (*R,S*)-1. The oxidations of (*S*)-(+)-3,4,8-trimethyl-5,6,7,8-tetrahydro-2-naphthol ((*S*)-(+)-1) and (*R,S*)-1 (Scheme IV)¹⁹ were performed by using $K_3Fe(CN)_6$ as an oxidant. From the crude reaction mixture were obtained monomer 1 (7.5%) and dimer 2 (62%). The workup procedure was completely quantitative and nonfractionating. This procedure was necessary to avoid any change in diastereomeric ratio prior to analysis. The constitution of the isomeric mixture 2 was carefully examined by using 100-MHz ¹H NMR and HPLC techniques, and the products were analyzed by spectroscopic techniques. A correct elemental analysis for 2 was obtained. Besides C–C-coupled product 2, small amounts of other oxidized products were found. Although spectral data indicate quinones and C–O-coupled products, no exact structures for these products were determined. Compound 2 showed hydroxyl absorptions at 3510 and 3300 cm⁻¹ (free and H-bridged OH) in the IR spectrum. In the ¹H NMR spectra, no aromatic proton absorptions were present. The other proton absorptions were almost identical with those of 1, except for the C₅- and C₈'-methyl protons, which showed multiplets in the case of racemic 2.

In the coupling of (*S*)-(+)-1 only dimer 2a was formed. No trace of the other dimers (2b,c) could be detected by using HPLC and 100-MHz ¹H NMR. The coupling product of (*R,S*)-1 consisted of a mixture of three isomers as was clearly shown by the 100-MHz ¹H NMR spectrum. In addition to these identifications, all three isomers were also isolated by using HPLC and characterized separately

(17) (a) G. Sznatzke, "Optical Rotatory Dispersion and Circular Dichroism in Organic Chemistry", Heyden, London, 1967, p 208; (b) G. Sznatzke, M. Kajtár, and F. Sznatzke in ref 14, Chapter 3.4; (c) G. Sznatzke and F. Sznatzke in ref 14, Chapter 3.5.

(18) Assignments of pseudoaxial conformations are based on NMR studies. Minimalization of steric repulsion and σ - π interactions are possible in this conformation.

(19) Each isomer in Scheme IV has an enantiomeric form which is not shown. The projections indicate the stereochemical relationship between the C₈,C₈'-methyl groups.

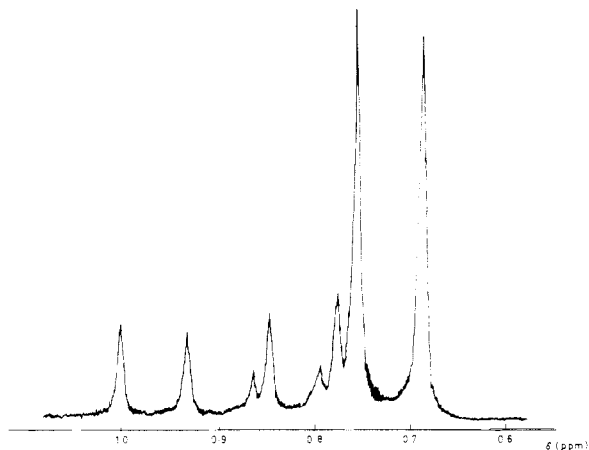


Figure 1. 100-MHz ^1H NMR spectrum of (R,S) -**2a-c** (CDCl_3) (C_8 - and C_8' - CH_3 absorptions).

via spectroscopic techniques. The observation that no trace of (R,S) -**2** is formed during the oxidation of (S) -**(+)**-**1** indicates that (S) -**(+)**-**1** was enantiomerically pure and that no racemization during its formation from (S) -**(-)**-**16** had occurred.

Stereochemistry of the Oxidative Coupling of (S) -(+)**-**1** and (R,S) -**1**.** In Scheme IV are shown the different stereoisomers which can be formed during the oxidation of racemic **1**.

Coupling of an *S* monomer with an *S* monomer can proceed in two ways. The C_8 - and C_8' -methyl groups are *cis*- or *trans*-oriented with respect to each other and therefore (S,S) -*cis*-**2b** and (S,S) -*trans*-**2a** are formed. These two isomers differ only in the configuration around the biaryl bond and are therefore diastereomers and also atropisomers. A rotation of 180° around the biaryl linkage could, in principle, convert the (S,S) -*cis*-**2b** to the (S,S) -*trans*-**2a**. This process is prevented, however, by steric hindrance. In a manner identical with the coupling of (S) -**(+)**-**1**, the coupling of (R) -**(-)**-**1** with (R) -**(-)**-**1** can lead to (R,R) -*cis*-**2b** and (R,R) -*trans*-**2a**, which are enantiomers of (S,S) -*cis*-**2b** and (S,S) -*trans*-**2a**. The coupling of an *S* monomer with an *R* monomer can give *R,S* and *S,R* dimers **2c**, which differ only in the biaryl configuration. Normally a coupling of (S) -**1** and (R) -**1** would give a meso compound, but due to restricted rotation around the biaryl bond, this coupling mode yields an enantiomeric pair.

In summary, in the dimerization of (R,S) -**1** there is the possibility of obtaining three enantiomeric pairs: the (RR,SS) -*cis* pair (**2b**), the (RR,SS) -*trans* pair (**2a**) and the RS,SR pair (**2c**). The coupling of enantiomerically pure (S) -**(+)**-**1** can only lead to isomers (S,S) -*cis*-**2b** and (S,S) -*trans*-**2a**.

The expanded part of the 100-MHz ^1H NMR spectrum of the C_8 - and C_8' -methyl proton absorptions of racemic **2** is shown in Figure 1. Molecular models of the *RS,SR* pair (**2c**) clearly indicate that the C_8 - and C_8' -methyls are situated in different environments in the molecule and are therefore not equivalent. The two doublets of equal intensity at δ 0.96 and 0.813 are attributed to the *RS,SR* pair (**2c**). Due to C_2 symmetry in the *cis* (**2b**) and the *trans* isomers (**2a**), the C_8 - and C_8' -methyl groups are equivalent, and therefore a doublet can be expected for each isomer. The two doublets of unequal intensity at δ 0.830 and 0.72 are attributed to the *cis*-**2b** and *trans*-**2a** isomers. The assignment of the doublet at δ 0.72 to the *trans* isomer **2a** is based on the following considerations. Models of the two isomers (**2a** and **2b**) show subtle but definite differences in the extent to which the protons of the pseudo-axially oriented C_8 - and C_8' -methyl substituents are in-

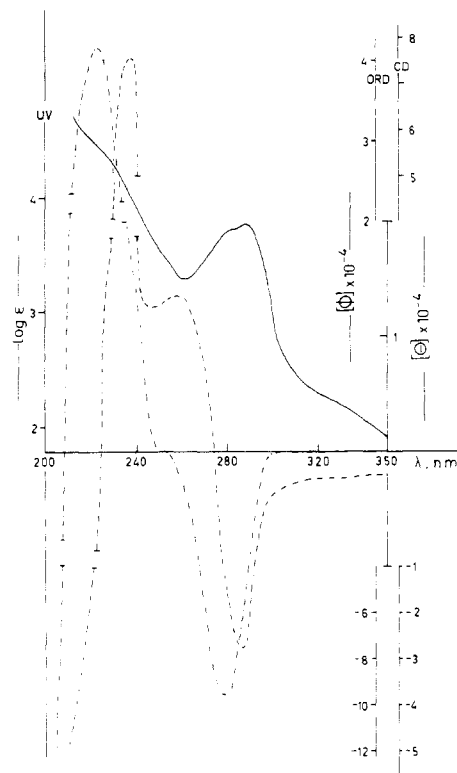


Figure 2. UV (—), ORD (---), and CD (···) spectra of (S,S) -*trans*-**2a** (95% ethanol).

fluenced by the shielding zones of the aromatic rings. The protons of the C_8 - and C_8' -methyl groups in the *trans* isomer **2a** are more situated in the shielding zones compared to the same protons in the *cis* isomer. Furthermore, for steric reasons better intramolecular hydrogen bonding of the OH's is indicated in the *trans* isomer **2a**. Separate hydroxyl proton signals were observed at δ 4.93 and 4.46 for the *trans* and *cis* isomers.

Differences in the extent of downfield shift of the C_8 - and C_8' -methyl proton absorptions were observed in the 100-MHz ^1H NMR spectra of the three isomers by using $\text{Eu}(\text{DPM})_3$ as a shift reagent. The doublet due to the *trans* isomer showed the largest shift. On the basis of molecular structures (Scheme IV) and with the realization that complexation occurs at the OH groups, a larger shift is expected for the C_8 - and C_8' -methyl proton signals of the *trans*-**2a** compared to that for *cis*-**2b**. Exact assignments could not be made, due to the fact that no other accurate signal shifts could be established which could be used for comparison purposes.

Further evidence for these assignments was based on chiroptical data. The ORD and CD spectra of (S,S) -*trans*-**2a** (Figure 2) showed a negative Cotton effect at 278 nm corresponding to the ^1Lb band, a positive Cotton effect for the ^1La band (225 nm), and probably a short-wavelength negative Cotton effect centered at the ^1Ba band (180–190 nm).

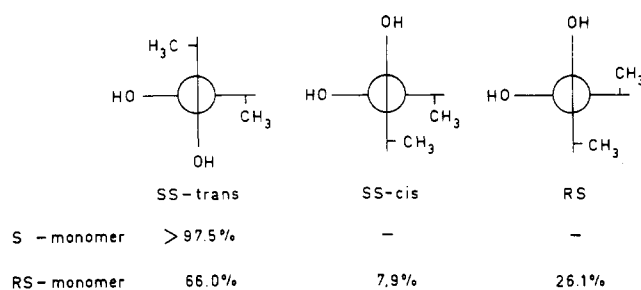
Mislow and co-workers²⁰ investigated a series of biaryl compounds and correlated the biaryl configurations with the sign of the Cotton effects.²¹ Theoretical treatments by Mason and co-workers²² and Hug and Wagnière²³ were

(20) K. Mislow, *Top. Stereochem.*, **4**, 1–42 (1968); K. Mislow in ref 16a, pp 153–172; K. Mislow, *Ann. N. Y. Acad. Sci.*, **93**, 457 (1962).

(21) Independently, chemical correlations and configurational correlations via asymmetric synthesis and X-ray analysis were made.

(22) S. F. Mason, R. H. Seal, and D. R. Roberts, *Tetrahedron*, **30**, 1671 (1974).

(23) W. Hug and G. Wagnière, *Tetrahedron*, **28**, 1241 (1972).

Chart II. Product Distribution of the Oxidative Coupling of (*S*)-(+)- and (*R,S*)-1

consistent with these results.

No clearly separated conjugation band was found in nonbridged biphenyls, and large torsional angles were present. A positive Cotton effect at the long-wavelength absorption band indicated an (*R*)-biaryl configuration. According to the rules as established by the authors cited above^{20,22,23} and the results of the configurational assignments by means of ORD and CD in the lythraceae alkaloid series,²⁴ which show the *S* configuration and negative ¹Lb Cotton effect and positive ¹La Cotton effect relationships, an (*S*)-biaryl configuration can be assigned to (+)-2a. Since the monomer (+)-1 had the *S* configuration at C₈, the dimer (+)-2 must be the (*S,S*)-trans enantiomer 2a (correct configuration pictured in Scheme IV). Although the substituent influences on the aromatic chromophores are uncertain, a negative ¹Lb Cotton effect probably indicates a cis conformation (OH's cis to each other) of the biaryl moiety.^{20,24b}

The results of the oxidative coupling of (*S*)-(+)-1 show that the reaction yielded the (*S,S*)-trans dimer 2a in a stereospecific manner (Scheme IV and Chart II). The least sterically hindered isomer 2a is formed. This indicates that nonbonded (steric) interactions are the main reasons for the stereochemical control during the coupling of two monomer molecules 1.

The dimerization of racemic 1 ((*R,S*)-1) yielded a mixture of three enantiomeric pairs (Scheme IV and Chart II). The coupling process is partly stereoselective in the fact that there is a preference for coupling of monomers having identical configuration: 74% *R-R*- and *S-S*-coupled product, 26% *R-S*-coupled product. This enantiomeric recognition effect has been previously observed in dimerization reactions and has been extensively discussed.²⁵ The extent of stereoselectivity of the biaryl formation during the coupling of (*R,S*)-1 can be interpreted from the product distribution. Since (*S,S*)-trans-2a (66.0% of the dimer fraction) and (*S,S*)-cis-2b (7.9%) differ only in the biaryl configuration, the diastereoselectivity of this oxidation is 80%.

As is shown in Scheme IV and Chart II, a mixture of diastereoisomers is formed when (*R,S*)-1 is dimerized (with 7.9% (*S,S*)-cis-2b) with a stereoselectivity in the biaryl formation of 80%, whereas the dimerization of enantiomerically pure (*S*)-(+)-1 proceeds in a fully stereospecific manner. The formation of (*S,S*)-cis-2b, expected on the

basis of the results of the coupling of (*R,S*)-1, was not observed in the case of (*S*)-(+)-1. This means that in the case of (*R,S*)-1 one enantiomer has an influence on the stereochemistry of the coupling of the other enantiomer. This antipodal or enantiomeric interaction effect has been discussed elsewhere.²⁵

Bobbitt and co-workers⁵ observed a stereoselective and stereospecific electrochemical dimerization of 1,2-dimethyl-7-hydroxy-6-methoxy-1,2,3,4-tetrahydroisoquinoline.

The results were explained on the basis of a surface reaction between two radicals on the graphite electrode. Furthermore, they oxidized enantiomerically pure 1,2-dimethyl-7-hydroxy-6-methoxy-1,2,3,4-tetrahydroisoquinoline with K₃Fe(CN)₆ and obtained two isomeric dimers analogous to the cis and trans forms of 2. High stereoselectivity in this chemical oxidation was, however, not observed. As different factors must be essential when the reacting species are absorbed on a surface compared to reacting species in solution, a difference in stereochemistry between electrochemical and K₃Fe(CN)₆ oxidations is not unexpected.

The stereospecific dimerization described in this paper reflects the importance of asymmetric centers present in the substrates for phenol oxidations. An example of the selective conversion of one of the enantiomers of a racemic phenol by tyrosinase was described.²⁶ Examples are known in which an optically active phenol coupling product, isolated from natural sources, contains no other chiral elements than its own biaryl dissymmetry.^{20,27} Since the biosynthetic pathways to these diphenols have not been entirely elucidated, chiral precursors to the final products are not excluded.

On the basis of our results we conclude that stereochemical control in intermolecular phenol oxidations can be exerted by an asymmetric center present in the substrate.

Experimental Section

All reagents and solvents were purified where necessary by standard methods. Melting points (uncorrected) were determined on a Mettler FP-2 melting point apparatus equipped with a Mettler FP-21 microscope. Infrared spectra were recorded on a Unicam SP-200 infrared spectrophotometer. Ultraviolet spectra were measured on a Zeiss PMQ 11. ¹H NMR spectra were recorded on a Varian A-60, a JEOL C-60 HL, or a Hitachi Perkin-Elmer R24B spectrometer using tetramethylsilane as an internal standard. A Varian XL-100 was used for the ¹³C NMR and 100-MHz ¹H NMR spectra. Mass spectra were obtained on an AEI MS-902. Optical rotations were measured on a Perkin-Elmer 241 polarimeter. The ORD and CD spectra were recorded on a Cary 60 recording spectropolarimeter equipped with a Cary 6002 CD accessory. High-pressure liquid chromatography was performed on a Waters HPLC apparatus, ALC/GPC 201, equipped with a differential refractometer and a Schoeffel Spectroflow SF 770 monitor.

2-Methoxy-3,4,8-trimethyl-5,6-dihydronaphthalene (9). To a solution of methylmagnesium iodide, prepared from 2.0 of Mg and 6.25 g (0.065 mol) of CH₃I in 25 mL of dry diethyl ether, was added over a period of 30 min under stirring 2.90 g (0.014 mol) of 7 dissolved in 25 mL of dry diethyl ether. The resulting mixture was stirred and refluxed for an additional 2 h, and after being cooled, it was poured into 100 g of crushed ice. Diluted aqueous hydrochloric acid (50 mL) and diethyl ether (50 mL) were added. The organic layer was separated, and the aqueous layer was

(24) (a) J. P. Ferris, C. B. Boye, R. C. Briner, U. Weiss, I. H. Qureshi, and N. E. Sharpless, *J. Am. Chem. Soc.*, **93**, 2963 (1971). (b) The main isomer obtained in the oxidative coupling of 1,2-dimethyl-7-hydroxy-6-methoxy-1,2,3,4-tetrahydroisoquinoline was assigned the cis form by Bobbitt and co-workers and Lyle.⁵ On the basis of the spectroscopic and optical data of the dimer (*S,S*)-(+)-2a, we have to conclude that this compound has the trans form, although there is quite a similarity between our data and the data obtained by the authors cited. The clarification of this point awaits an X-ray structure determination.

(25) H. Wynberg and B. Feringa, *Tetrahedron*, **32**, 2831 (1976); P. Hobza, R. Zahradnik, B. Feringa, and H. Wynberg, submitted for publication.

(26) M. Morrison and G. Bayse in "Oxidases and Related Redox Systems. Proceedings of the Second International Symposium", T. E. King, H. S. Mason, and M. Morrison, Eds., University Park Press, Baltimore, MD, 1973, p 375.

(27) S. Shibata and Y. Ogihara, *Tetrahedron Lett.*, 1777 (1963); S. Shibata, *Chem. Br.*, **3**, 110 (1967).

extracted with diethyl ether (2 × 25 mL). The combined ether layers were washed with water until neutral, dried over MgSO₄, and filtered, and the solvent was removed by distillation. Carbinol 8 (3.0 g, 0.0135 mol, 96%) was obtained as a slightly yellow oil: IR (neat) 3500 cm⁻¹ (m, OH); ¹H NMR (CCl₄) δ 1.2 (s, 3 H, C(OH)CH₃), 1.5–3.0 (m, 12 H, 2 CH₃, 3 CH₂), 3.92 (s, 3 H, OCH₃), 4.9 (br s, 1 H, OH), 6.65 (s, 1 H, aromatic).

The carbinol 8 (3.0 g, 0.0135 mol) and 0.010 g of I₂ were heated at 130–140 °C for 1 h. After the mixture cooled, the red oil was dissolved in 50 mL of diethyl ether. The ether solution was washed with saturated sodium thiosulfate solution (3 × 40 mL) and water (2 × 30 mL) and dried over MgSO₄, and the diethyl ether was removed by distillation. The 2.78 g of crude yellow compound was purified by column chromatography (silica gel, 60–120 mesh, benzene) and furnished 9 (2.35 g, 0.011 mol, 82%) as a colorless crystalline compound: mp 49–50.0 °C; IR (Nujol) 1540 cm⁻¹ (m, olefinic); ¹H NMR (CCl₄) δ 1.6–2.9 [m, 2 CH₃ + 3 CH₂ (exocyclic double bond) or 2 CH₃ + 2 CH₂ + CH₃ (endocyclic double bond)], 3.8 (s, 3 H, OCH₃), 5.78 (m, 1 H, olefinic, endocyclic double bond), 6.2 (m, 2 H, olefinic, exocyclic double bond) (endo/exo ratio of 9:2), 6.6 (s, 1 H, aromatic). Anal. Calcd for C₁₄H₁₈O: C, 83.12; H, 8.96. Found: C, 83.14; H, 9.04.

The Grignard reaction starting with 0.2 mol of 7 afforded after dehydration a mixture of 9 (90%) and 12 (10%) not separable by chromatography. The ¹H NMR (CCl₄) indicated multiplets at δ 7.0–7.3 and 7.7–7.9 due to the aromatic H's of 12.

2-Methoxy-3,4,8-trimethyl-5,6,7,8-tetrahydronaphthalene (10). A suspension of 2.1 g (10.4 mmol) of 9, 1.0 g of palladium on carbon (5% Pd), and 200 mL of absolute ethanol was shaken for 19 h at 20 °C in a Parr apparatus under a hydrogen atmosphere (3 atm of H₂, repeated degassing and saturation with H₂). The solution was filtered and the solvent removed by distillation to afford 1.8 g of an oil. Column chromatography [silica gel, 60–120 mesh, benzene–petroleum ether (bp 40–60 °C) 1:5 ratio] furnished 1.49 g (7.3 mmol, 70%) of 10 as a colorless oil: bp 125–135 °C (0.8–0.9 mm); IR (neat) 1600 cm⁻¹ (aromatic C=C stretch); mass spectrum, *m/e* 204 (M⁺); ¹H NMR (CCl₄) δ 1.22 (d, 3 H, *J* = 7.0 Hz, C₈-CH₃), 1.5–2.8 (m, 13 H, 2 CH₃, 3 CH₂, CH), 3.74 (s, 3 H, OCH₃), 6.45 (s, 1 H, aromatic). Anal. Calcd for C₁₄H₂₀O: C, 82.30; H, 9.86. Found: C, 81.80; H, 9.66.

2-Hydroxy-3,4,8-trimethyl-5,6,7,8-tetrahydronaphthalene (1). A mixture of 1.49 g (7.3 mmol) of 10, 7.1 mL of acetic acid, and 2.35 g of hydroiodic acid (70% solution in water) was refluxed for 2 h. To the solution were added, after cooling, 50 mL of water and 40 mL of diethyl ether. The organic layer was separated and subsequently washed with 60 mL of water (4 × 15 mL portions), saturated NaHCO₃ solution (2 × 15 mL), sodium thiosulfate solution (2 × 15 mL), and water (20 mL). After the mixture was dried over Na₂SO₄ and the diethyl ether removed by distillation, 1.48 g of an orange oil was obtained. Column chromatography (silica gel, 60–120 mesh, benzene) afforded 1.11 g (5.8 mmol, 78%) of 1. Three crystallizations from petroleum ether (bp 40–60 °C) furnished pure 1 as a colorless solid: mp 88.5–89.5 °C; IR (Nujol) 3300 cm⁻¹ (m, OH); ¹H NMR (CDCl₃) δ 1.12 (d, 3 H, *J* = 6.7 Hz, C₈-CH₃), 1.5–2.8 (m, 13 H, 2 CH₃, 3 CH₂, CH), 5.25 (s, 1 H, OH), 6.3 (s, 1 H, aromatic). Anal. Calcd for C₁₃H₁₈O: C, 82.05; H, 9.53. Found: C, 82.34; H, 8.96.

In this case 1 was contaminated by aromatic byproduct, and pure 1 was obtained by the following procedure. To a solution of crude 1 (1.0 g, 5.18 mmol) in 20 mL of ethanol was added 15 mL of a 25% picric acid solution in ethanol. The solution turned dark red, and crystalline picrate separated. The mixture was stirred for 5 min, and the solid material was separated by filtering with suction. After the crystals were washed with 5 mL of cold ethanol and dried in the air, 0.256 g (0.59 mmol, 11%) of picrate of 12 was obtained (mp 168–169 °C).

The yellow filtrate was filtered through a silica gel column, and ethanol was removed from the resultant colorless solution by distillation to afford 0.88 g (4.6 mmol, 88%) of 1.

1-Menthoxycetic Acid Ester 13. 1-Menthoxycetic acid chloride was prepared according to the literature.²⁸ To a refluxing solution of 3.0 g (15.8 mmol) of 1 and 6.0 g (26.5 mmol) of 1-menthoxycetic acid chloride in dry benzene (70 mL) was added,

over a period of 20 min, 3.5 mL of dry pyridine. The mixture was heated under reflux for an additional 2 h, and after the mixture cooled 30 mL of water and 40 mL of diethyl ether were added. The organic layer was separated and washed with 60 mL of water (2 × 30 mL), NaHCO₃ solution (4 × 30 mL), diluted hydrochloric acid (3 × 30 mL), and water (2 × 20 mL). The ether solution was dried over MgSO₄ and the diethyl ether removed by distillation to afford 6.2 g (15.5 mmol) of a colorless oil. Column chromatography [silica gel, petroleum ether (bp 40–60 °C)/benzene 1:3] furnished pure 13 (5.1 g, 12.7 mmol, 81%) as a colorless oil: mass spectrum, *m/e* 386 (M⁺); IR (neat) 1775 cm⁻¹ (s, C=O); ¹H NMR (CDCl₃) δ 1.7–3.5 (m, 20 H, 6 CH₂, CH₃, 5 CH), 0.90 (d, 6 H, *J* = 5.5 Hz, CH(CH₃)₂), 1.25 (d, 3 H, *J* = 6.5 Hz, CH₃), 2.03 (s, 3 H, CH₃), 2.11 (s, 3 H, CH₃), 4.30 (s, 3 H, OCH₃), 4.36 (m, 2 H, OCH₂), 6.72 (s, 1 H, aromatic).

***d*-Camphor-10-sulfonic Acid Ester 14.** *d*-Camphor-10-sulfonic acid chloride was prepared from the acid and SOCl₂. A mixture of 0.50 g (2.6 mmol) of 1, 0.70 g (2.8 mmol) of *d*-camphor-10-sulfonic acid chloride, and 2 mL of dry pyridine was stirred over a 30-min period at 20 °C. Under stirring, 30 g of ice and 20 mL of diluted hydrochloric acid were added. The resulting mixture was extracted with 90 mL of diethyl ether (3 × 30 mL), and the ether solution was washed with water (2 × 30 mL), NaHCO₃ solution (25 mL), and water (20 mL). After the ether solution was dried over Na₂SO₄ and the ether removed by distillation, 0.93 g (2.5 mmol) of crude 14 was obtained. Column chromatography (silica gel, benzene) afforded 0.80 g (2.1 mmol, 80%) of pure 14 as a colorless oil: IR (neat) 1740 cm⁻¹ (s, C=O), 1370 (s, SO₂); ¹H NMR (CDCl₃) δ 0.90 (s, 3 H, CH₃), 1.16 (s, 3 H, CH₃), 1.23 (d, 3 H, CH₃), 1.4–2.8 (m, 20 H, camphor H's, 2 CH₃, 3 CH₃, CH), 3.45 (AB system, 2 H, *J* = 15 Hz, SO₂CH₂), 6.88 (s, 1 H, aromatic). Crystallization from CH₃OH afforded white amorphous material melting at 3–5 °C.

***d*-3-Bromo- π -camphorsulfonic Acid Ester 15.** *d*-3-Bromo- π -camphorsulfonic acid chloride was prepared according to literature procedures.²⁹ Ester 15 was prepared by following the procedure described for 14. From 0.20 g (1.04 mmol) of 1 and 0.35 g (1.7 mmol) of acid chloride was obtained, after 15 h at 20 °C and an additional 30 min at 50 °C, 0.15 g (0.43 mmol, 40%) of pure crystalline 15 [isolated via column chromatography (Al₂O₃, benzene)]: mp 120.0–126.1 °C; IR (Nujol) 1735 cm⁻¹ (s, C=O), 1380 (s, SO₂); ¹H NMR (CDCl₃) δ 1.03 (s, 3 H, CH₃), 1.25 (d, 3 H, CH₃), 1.32 (s, 3 H, CH₃), 1.4–2.9 (m, 18 H, camphor H's, 2 CH₃, 3 CH₂, CH), 3.38 (AB system, *J* = 14 Hz, 2 H, SO₂CH₂), 4.52 (d, 1 H, CHBr), 6.84 (s, 1 H, aromatic); [α]_D²⁰₅₇₈ +64.7° (c 0.26, ethanol). After one crystallization from absolute ethanol: mp 130–137 °C; [α]_D²⁰₅₇₈ +63.5° (c 0.26, ethanol). After two crystallizations from absolute ethanol: mp 132–138 °C; [α]_D²⁰₅₇₈ +62.9° (c 0.21, ethanol). Hydrolysis of 15 after two crystallizations according to standard procedures afforded 1, isolated via column chromatography (silica gel, benzene); [α]_D²⁰₅₇₈ -0.4° (c 3.1, ethanol).

Ethyl 4-(4-Methoxy-2,3-dimethylphenyl)butanoate (17). A mixture of 50.0 g (0.22 mmol) of acid 6, 5 mL of concentrated H₂SO₄, and 500 mL of dry ethanol was heated under reflux for 66 h. The main part of the ethanol was removed by distillation, and 100 mL of diethyl ether and 50 mL of water were added to the residue. The organic layer was separated, washed with saturated NaHCO₃ solution (2 × 50 mL) and 30 mL of water, and dried over MgSO₄. After removal of the solvent under diminished pressure, 55 g of a yellow oil was obtained. Distillation afforded pure 17 as a colorless oil: 50.0 g (0.2 mol, 90%); bp 194–196 °C (12 mm); IR (neat) 1720 cm⁻¹ (s, C=O); ¹H NMR (CCl₄) δ 1.18 (c, 3 H, *J* = 7 Hz, OCH₂CH₃), 1.6–2.7 (m, 6 H, 3 CH₂), 2.04 (s, 3 H, CH₃), 2.1 (s, 3 H, CH₃), 3.60 (s, 3 H, OCH₃), 3.94 (q, 2 H, *J* = 7 Hz, OCH₂CH₃), 6.35, 6.7 (AB system, 2 H, *J* = 8.0 Hz, aromatic). Anal. Calcd for C₁₅H₂₂O₃: C, 71.97; H, 8.86. Found: C, 71.94; H, 8.87.

7-Methoxy-5,6-dimethyl-3,4-dihydro-1-naphthoic Acid (20). In the general procedure for the preparation of 20, the compounds 18 and 19 were not isolated in an analytically pure form. After the decarboxylation step the presence of anhydride 21 and cyclized product 20 in the crude reaction product 19 was the main reason for direct conversion of this product into a mixture of final

(28) *Org. React.*, 2, 398 (1944).(29) F. S. Kipping and W. J. Pope, *J. Chem. Soc.*, 63, 548 (1893).

products **20** and **21**, which were then separated.

Extremely dry conditions were essential during the condensation reaction. Diethyl ether was dried and purified by distillation from P_2O_5 , followed by distillation from $LiAlH_4$. Absolute ethanol was prepared by distillation from magnesium ethoxide. "Sodium sand" was prepared according to literature procedures.^{7,30} Diethyl oxalate was dried over 3-Å molecular sieves.

Into a 500-mL, three-necked flask, secured from moisture by drying tubes and equipped with dropping funnel and cooler, was placed 3.05 g (0.135 mol) of freshly prepared "Na sand" covered with 75 mL of diethyl ether. Absolute ethanol (6.3 g, 0.135 mol) dissolved in 25 mL of diethyl ether was added over a period of 30 min at 20 °C. The mixture was stirred for an additional 2 h. Over a period of 1 h, 28.5 g (0.195 mol) of diethyl oxalate dissolved in 25 mL of diethyl ether was added to the sodium ethoxide suspension. The resulting mixture was stirred at 20 °C for an additional 45 min, and 30.0 g (0.12 mol) of **17** dissolved in 25 mL of diethyl ether was then added over 45 min. The yellow solution was stirred and heated under reflux for 41 h. The resulting yellow suspension was cooled in an ice bath, and an ice-cold solution of 7.5 mL of concentrated H_2SO_4 in 110 mL of H_2O was added. An additional 25 mL of H_2O was added, and after a few minutes of stirring all the solid material dissolved. The organic layer was separated, and the aqueous layer was extracted with diethyl ether (2 × 50 mL). The combined ether layers were washed with water (2 × 20 mL) and dried over $MgSO_4$, and the solvent was removed by distillation. A red oil (55 g) was obtained, consisting mainly of a mixture of **18** and the excess diethyl oxalate. TLC and 1H NMR revealed that only traces of ester **17** were present. For **18**: IR (neat) 1690, 1720 cm^{-1} (C=O); 1H NMR (CCl_4) δ 1.21 (t, J = 7 Hz, 3 H, CH_3), 1.30 (t, J = 7 Hz, 3 H, CH_3), 1.8–2.9 (m, 5 H, 2 CH_2 , CH), 2.13 (s, 3 H, CH_3), 2.22 (s, 3 H, CH_3), 3.70 (s, 3 H, OCH_3), 4.21 (q, J = 7 Hz, 2 H, OCH_2), 4.24 (q, J = 7 Hz, 2 H, OCH_2), 6.71 (AB system, J = 8 Hz, 2 H, aromatic).

To 45 g of the crude product (**18**) was added 450 mL of a 5% H_2SO_4 solution. The mixture was heated at 100 °C under intensive stirring over a period of 64 h. After cooling, 200 mL of diethyl ether was added and the organic layer separated. The aqueous layer was extracted with diethyl ether (200 mL and then 2 × 100 mL), and the combined ether layers were washed with H_2O (3 × 50 mL), dried over $MgSO_4$, and concentrated in vacuo. The yellow solid obtained (24.5 g) consisted of a mixture of cyclic acid **20** and anhydride **21**. These compounds were separated by column chromatography (silica gel, 50 × 10 cm column, 500 g of SiO_2). Elution with CH_2Cl_2 (3.5 L) afforded the anhydride **21**: 7.70 g (0.030 mol, 25%); mp 169–171 °C; IR (Nujol) 1755, 1830 cm^{-1} (s, C=O); 1H NMR ($CDCl_3$) δ 2.20 (s, 6 H, 2 CH_3), 2.5–3.05 (m, 4 H, 2 CH_2), 3.75 (s, 3 H, OCH_3), 7.29 (s, 1 H, aromatic).

Elution with diethyl ether afforded acid **20** as a pale yellow solid (14.8 g, 0.064 mol, 53%). Crystallization from hexane/benzene furnished analytically pure **20** as colorless needles: mp 189–190 °C; IR (Nujol) 2500–3000 (br, OH), 1690 (C=O), 1620, 1595 cm^{-1} (C=C); 1H NMR ($CDCl_3$) δ 2.22 (s, 6 H, 2 CH_3), 2.2–2.9 (m, 4 H, 2 CH_2), 3.83 (s, 3 H, OCH_3), 7.4 (m, 2 H, olefinic and aromatic H's), 11.73 (br s, 1 H, CO_2H); mass spectrum, m/e 232 (M^+). Anal. Calcd for $C_{14}H_{16}O_3$: C, 72.39; H, 6.94. Found: C, 72.17; H, 6.96.

In an alternative procedure the crude condensation product (**15**) was stirred and refluxed for 17 h with 100 mL of a 5% H_2SO_4 solution, after which period via the workup procedure described above 10.5 g of a yellow solid was obtained. This product mixture was dissolved in 80 mL of a 65% H_2SO_4 solution and stirred and heated for 1 h. Ice-water (100 g) was added and the aqueous solution extracted with diethyl ether (3 × 100 mL). The ether solutions were washed with water (4 × 50 mL) and dried over $MgSO_4$, and the solvent was removed in vacuo. There was obtained 8.5 g of a yellow semisolid which was separated into 4.8 g (57%) of **21** and 1.7 g (22%) of **20**.

When a 20% H_2SO_4 solution was used in the conversion of **18** for a 26-h period, following the above-described procedure, the anhydride **21** was formed in 82% yield, and only small amounts of **20** were present.

Isolation of **20** by using $NaHCO_3$ extraction of the ether solution of the mixture of **20** and **21** furnished after several extractions only small amounts of pure **20**. The main part of **20** remained in the organic solution.

The α -keto acid **19** was isolated from the product mixture obtained via hydrolysis and decarboxylation of **18**, after 17 h: mp 109.5–110.5 °C; IR (Nujol) 2800 cm^{-1} (br, OH), 1690, 1700 (2 C=O).

dl-7-Methoxy-5,6-dimethyl-1,2,3,4-tetrahydro-1-naphthoic Acid (16). Acid **20** (10.0 g, 0.043 mol) was dissolved in 200 mL of hot ethanol, and 1 g of palladium on carbon (5% Pd) was added. The mixture was degassed several times, saturated with hydrogen under 4 atm of hydrogen pressure, and shaken for 27 h at room temperature. The suspension was filtered and the solvent removed by distillation under diminished pressure. Crystallization of the resulting solid from benzene/petroleum ether (bp 40–60 °C) mixtures afforded **16** (9.1 g, 0.039 mol, 90%) as white needles: mp 161.5–162.5 °C; mass spectrum, m/e 234 (M^+); IR (Nujol) 2700 cm^{-1} (br, OH), 1700 (C=O); 1H NMR ($CDCl_3$) δ 1.70–2.80 (m, 7 H, 3 CH_2 , CH), 2.12 (s, 6 H, 2 CH_3), 3.73 (s, 3 H, OCH_3), 6.58 (s, 1 H, aromatic), 11.5 (s, 2 H, OH). Anal. Calcd for $C_{14}H_{18}O_3$: C, 71.77; H, 7.74. Found: C, 71.90; H, 7.72.

Resolution of dl-7-Methoxy-5,6-dimethyl-1,2,3,4-tetrahydro-1-naphthoic Acid (dl-16). The white suspension formed from 10.0 g (0.045 mol) of *dl*-**16** and 6.4 g (0.0224 mol) of *d*-dehydroabietylamine ((+)-DHAA) in 250 mL of 96% ethanol was stirred and heated under reflux for 20 min. The resulting solution was filtered hot and then very slowly cooled. After 2.5 h the temperature of the solution was 40 °C, and white needles were crystallizing from the solution. The white solid was collected by filtration with suction, washed with cold 96% ethanol, and dried in vacuo (60 °C, 30 mm, 16 h) to give 4.45 g of the (+)-DHAA salt of **16**: mp 184.4–185.8 °C; $[\alpha]_D^{20}$ +36.3° (c 0.068, 95% ethanol). This salt was crystallized three times from 96% ethanol and, in addition, from benzene to afford 1.55 g of (+)-DHAA salt as white needles: mp 186.7–187.7 °C $[\alpha]_D^{20}$ +23.05° (c 0.151, $CHCl_3$).

l-7-Methoxy-5,6-dimethyl-1,2,3,4-tetrahydro-1-naphthoic Acid (l-16). The (+)-DHAA salt of **16** after five crystallizations (1.39 g, 2.6 mmol) was dissolved in 200 mL of 50% aqueous acetic acid, and the resulting solution was heated under reflux for 45 min. After the mixture cooled, 50 mL of H_2O and 50 mL of diethyl ether were added. The ether layer was separated and the aqueous layer extracted with diethyl ether (3 × 50 mL). The combined ether layers were washed with water (4 × 30 mL) and extracted with 1 N NaOH solution (5 × 30 mL). The basic solution was acidified with hydrochloric acid. The white solid material that precipitated was dissolved in 40 mL of diethyl ether. The aqueous layer was extracted with ether (3 × 30 mL), and the combined ether layers were washed with water (2 × 10 mL) and dried over $MgSO_4$. The solvent was removed by distillation to afford 0.55 g (2.3 mmol, 88%) of colorless crystalline *l*-**16**: mp 125–127 °C; $[\alpha]_D^{22}$ –16.8° (c 0.99, $CHCl_3$). All spectral data were identical with those of *dl*-**16**.

Amides 27 and 29. The acid chloride of *dl*-**16** was prepared from 0.20 g (0.86 mmol) **16** and 0.19 g (0.95 mmol) of PCl_5 in benzene, according to standard procedures. A mixture of the acid chloride dissolved in benzene (4 mL), 0.41 g (3.44 mmol) of *d*- α -phenylethylamine, and 0.1 g of pyridine was stirred at 20 °C for 1 h. To the mixture were added 20 mL of 2 N hydrochloric acid and 20 mL of diethyl ether. The organic layer was separated and the aqueous layer extracted with diethyl ether (2 × 20 mL). The combined ether solutions were washed with water (3 × 10 mL), $NaHCO_3$ solution (2 × 10 mL), and water (10 mL) and dried over $MgSO_4$. After removal of the solvent by distillation, 0.32 g (0.82 mmol, 96%) of the amides *d,d*-**27** and *d,l*-**27** were obtained as white crystalline compounds: mp 135–144 °C; exact mass for M^+ peak calcd m/e 337.204, found 337.201; IR (Nujol) 1655 (s, C=O), 3370 cm^{-1} (m, NH); 1H NMR ($CDCl_3$) δ 1.31, 1.33 (2 d, 3 H, J = 7 Hz, $NCH(CH_3)$), 1.5–2.7 (m, 7 H, 3 CH_2 , CH), 2.1 (br s, 6 H, 2 CH_3), 3.56, 3.60 (2 s, 3 H, OCH_3), 5.17 (q, 1 H, J = 7 Hz, $NCH(CH_3)$), 5.92 (br t, 1 H, -NH), 6.33, 6.36 (2 br s, 1 H, aromatic), 7.15 (m, 5 H, aromatic).

The *d,l*-amide **27** was prepared from *l*-**16** in an identical way: mp 183–185 °C; 1H NMR ($CDCl_3$) δ 1.34 (d, 3 H, J = 7 Hz, $NCH(CH_3)$), 1.5–2.7 (m, 7 H, 3 CH_2 , CH), 2.12 (br s, 6 H, 2 CH_3),

(30) A. I. Vogel, "Practical Organic Chemistry", 3rd ed., Longman, London, 1956, p 86.

6.68 (s, 3 H, OCH₃), 5.17 (q, 1 H, *J* = 7 Hz, NCH(CH₃)), 5.65 (m, 1 H, NH), 6.39 (s, 1 H, aromatic), 7.18 (br s, 5 H, aromatic).

The *d,d*- and *d,l*-amides **29** were prepared in an identical way from *dl*-16 and *d*- α -(*p*-nitrophenyl)ethylamine (**28**): mp 159–165 °C; mass spectrum, *m/e* 384 (M⁺); ¹H NMR (CDCl₃) δ 1.37 (d, 3 H, *J* = 7 Hz, NCH(CH₃)), 2.18 (br s, 6 H, 2 CH₃), 1.6–2.8 (m, 7 H, 3 CH₂, CH), 3.67, 3.72 (2 s, 3 H, OCH₃), 5.22 (q, 1 H, *J* = 7 Hz, NCH(CH₃)), 5.81 (m, 1 H, NH), 6.35, 6.41 (2 br s, 1 H, aromatic), 7.32 (m, 3 H, aromatic), 8.10 (m, 2 H, aromatic).

Methyl *d,l*-7-Methoxy-5,6-dimethyl-1,2,3,4-tetrahydro-1-naphthoate (*dl*-23). To a solution of 0.20 g (0.86 mmol) of *dl*-16 in 10 mL of diethyl ether was added under stirring an excess (\pm 4.5 mmol) of diazomethane dissolved in diethyl ether. The mixture was stirred for an additional 5 min and the excess diazomethane was decomposed with acetic acid. The solvent was removed under diminished pressure, and 0.20 g (0.82 mmol, 95%) of *dl*-23 was obtained as a colorless solid: mp 40–44 °C; mass spectrum, *m/e* 258 (M⁺); IR (Nujol) 1740 cm⁻¹ (C=O); ¹H NMR (CDCl₃) δ 1.7–2.8 (m, 7 H, 3 CH₂, CH), 2.11 (s, 6 H, 2 CH₃), 3.68 (s, 3 H, OCH₃), 3.72 (s, 3 H, OCH₃), 6.50 (s, 1 H, aromatic). Anal. Calcd for C₁₅H₂₀O₃: C, 72.56; H, 8.12. Found: C, 72.34; H, 8.13.

Methyl *l*-7-Methoxy-5,6-dimethyl-1,2,3,4-tetrahydro-1-naphthoate (*l*-23). This compound was prepared by following the procedure described for *dl*-23: mp 63.5–64.5 °C; [α]_D²⁵ -17.5° (c 0.32, CHCl₃).

***dl*-1-(Hydroxymethyl)-7-methoxy-5,6-dimethyl-1,2,3,4-tetrahydronaphthalene (*dl*-24).** A mixture of 0.54 g (2.17 mmol) of *dl*-23 and 1.0 g of LiAlH₄ in 30 mL of diethyl ether was stirred and heated under reflux for 1 h. The solution was cooled to 0 °C, and 30 mL of water was slowly added. The aqueous solution was extracted with diethyl ether (3 \times 30 mL), and the ether extracts were washed with water (20 mL) and dried over MgSO₄. The solvent was removed by distillation to yield 0.475 g (2.14 mmol, 99%) of colorless crystalline *dl*-24: mp 100.5–101.5 °C; mass spectrum, *m/e* 220 (M⁺); IR (Nujol) 3400 cm⁻¹ (s, OH); ¹H NMR (CDCl₃) δ 1.65–2.75 (m, 7 H, 3 CH₂, CH), 2.13 (s, 6 H, 2 CH₃), 2.88 (br s, 1 H, OH), 3.75 (br d, 2 H, CH₂O), 3.76 (s, 3 H, OCH₃), 6.60 (s, 1 H, aromatic). Anal. Calcd for C₁₄H₂₀O₂: C, 76.32; H, 9.15. Found: C, 75.95; H, 9.17.

***l*-1-(Hydroxymethyl)-7-methoxy-5,6-dimethyl-1,2,3,4-tetrahydronaphthalene (*l*-24).** The compound was prepared by following the procedure described for *dl*-24: mp 124.5–125.5 °C; [α]_D²⁵ -13.8° (c 0.52, CHCl₃).

***dl*-1-[(*p*-Toluenesulfonyl)methyl]-7-methoxy-5,6-dimethyl-1,2,3,4-tetrahydronaphthalene (*dl*-25).** The alcohol *dl*-24 (0.47 g, 2.13 mmol) was dissolved in 10 mL of dry pyridine, and the solution was cooled to 0 °C. Under stirring there was added over a period of 30 min 0.8 g of *p*-toluenesulfonic acid chloride. The mixture was stirred at 20 °C for an additional period of 28 h. The resulting mixture was poured into 30 g of crushed ice and 50 mL of water. A slight excess of 2 N hydrochloric acid and 50 mL of diethyl ether were added. The organic layer was separated and the aqueous layer extracted with diethyl ether (3 \times 20 mL). The combined ether solutions were dried over MgSO₄, and the solvent was removed by distillation. The tosylate *dl*-25 was obtained in 97% yield (0.77 g, 2.07 mmol) as a colorless crystalline compound: mp 94–96 °C; exact mass for M⁺ peak calcd *m/e* 374.155, found 374.156; ¹H NMR (CDCl₃) δ 1.60–2.70 (m, 7 H, 3 CH₂, CH), 2.04 (s, 3 H, CH₃), 2.08 (s, 3 H, CH₃), 2.38 (s, 3 H, CH₃), 3.67 (s, 3 H, OCH₃), 3.9–4.4 (m, 2 H, OCH₂), 6.40 (s, 1 H, aromatic), 7.26, 7.73 (AB system, *J* = 8 Hz, 4 H, aromatic).

***d*-1-[(*p*-Toluenesulfonyl)methyl]-7-methoxy-5,6-dimethyl-1,2,3,4-tetrahydronaphthalene (*d*-25).** This compound was synthesized analogously to the preparation of *dl*-25: mp 88–89 °C; [α]_D²⁵ +22.1° (c 0.28, CHCl₃).

***dl*-10 via LiAlH₄ Reduction of *dl*-25.** The tosylate *dl*-25 (0.75 g, 2.0 mmol) dissolved in 10 mL of diethyl ether was added to a stirred suspension of 1.0 g of LiAlH₄ in 30 mL of diethyl ether at 0 °C over a period of 30 min. The mixture was stirred and heated under reflux for an additional 60 min. After the mixture was cooled to 0 °C, 50 mL of ice-cold water, 10 mL of 2 N hydrochloric acid, and 30 mL of diethyl ether were added. The organic layer was separated and the aqueous layer extracted with diethyl ether (4 \times 20 mL). The combined ether solutions were washed with water (3 \times 15 mL) and dried over MgSO₄, and the solvent was removed by distillation. Racemic 10 was obtained

as a colorless oil (0.37 g, 1.8 mmol, 90%). The product was in all respects identical with *dl*-10 prepared via hydrogenation of **9**.

***l*-10 via LiAlH₄ Reduction of *d*-25.** *l*-10 (a colorless oil) was prepared in the same way as the racemic compound *dl*-10; [α]_D²⁵ -14.5° (c 0.36, CHCl₃). All spectral data of *l*-10 were identical with those of the racemic compound (except for chiroptical data).

***d*-1 from *l*-10.** Optically active naphthol *d*-1 was prepared from *l*-10 by ether bond fission with hydroiodic acid in acetic acid in the same way as for the preparation of racemic 1: oil; [α]_D²⁵ +14.8° (c 0.3, ethanol). All spectral data were identical with those of *dl*-1 (except for chiroptical data).

Oxidative Coupling of (*S*)-(+)-1 and (*R,S*)-1. To a solution of 0.235 g (1.23 mmol) of *dl*-1 in 43 mL of diethyl ether was added 0.448 g of K₃Fe(CN)₆ dissolved in 18.5 mL of 0.2 N NaOH solution. Water (5 mL) was added, and the mixture was stirred at 22 °C for 2 h. The ether layer slowly turned light red. Diethyl ether (20 mL) and water (10 mL) were added and the layers separated. The aqueous layer was extracted with diethyl ether (3 \times 20 mL), and the combined ether solutions were washed with water (3 \times 10 mL) and dried over MgSO₄. After removal of the solvent under diminished pressure, 0.210 g of a pale yellow semisolid was obtained.

The crude reaction mixture was separated into the different compounds by means of chromatography [TLC, silica gel 60PF, petroleum ether (bp 40–60 °C)/ether, 20:1]. Three main fractions were obtained: (1) phenol **1**, 0.017 g (0.90 mmol, 7.5%), identical with the starting material; (2) a mixture of quinones and ethers (0.034 g, 15%), IR (Nujol) 1680 cm⁻¹ (C=O); (3) dimeric product *dl*-2, 0.145 g (0.76 mmol, 62%), mp 127–137 °C, mixture of diastereoisomers, according to the 100-MHz ¹H NMR spectrum [100-MHz ¹H NMR (CDCl₃) δ 0.72 (d, *J* = 7 Hz, C₈ and C₈-CH₃, **2a**), 0.813 (d, *J* = 7 Hz, C₈- and C₈-CH₃, **2c**), 0.83 (d, *J* = 7 Hz, C₈-C₈-CH₃, **2b**), 0.96 (d, *J* = 7 Hz, C₈- or C₈-CH₃, **2c**), (together 6 H), 1.37–2.85 (m, 14 H, 6 CH₂, 2 CH), 2.13 (s, 6 H, 2 CH₃), 2.15 (s, 6 H, 2 CH₃), 4.49 (br s, OH), 4.54 (br s, OH), 4.63 (br s, OH) (together 2 H)]; mass spectrum, *m/e* 378 (M⁺); IR (Nujol) 3150 cm⁻¹ (s, OH), 3300 (m, br, OH). Anal. Calcd for C₂₆H₃₄O₂: C, 82.49; H, 9.05. Found: C, 82.62; H, 9.03]. Racemic **2** can be crystallized from petroleum ether (bp 40–60 °C)/benzene (10:1).

The diastereomeric mixture containing **2a–c** was separated into the individual components by means of HPLC (Waters liquid chromatograph, 50 cm \times 3/8 in. column, SI 60-5, propyl chloride/hexane, 1:1). The diastereomeric ratio was determined by peak integration. A ratio of **2a/2b/2c** of 66:8:26 (\pm 2) was established, in agreement with the ¹H NMR determination. Complete separation was achieved by using the recycling technique.

From 0.095 g of *dl*-2 (mixture of diastereoisomers) was obtained the following. *dl*-*cis*-**2b**: 0.0075 g (7%); mp 160–161 °C; exact mass for M⁺ peak calcd *m/e* 378.2558, found 378.2577. *dl*-*trans*-**2a**: 0.061 g (64%); mp 120–121 °C; exact mass for M⁺ peak calcd *m/e* 378.2558, found 378.2570. *dl*-**2c**: 0.023 g (25%); mp 196–197 °C; exact mass for M⁺ peak calcd *m/e* 378.2558, found 378.2577.

The oxidation of (*S*)-(+)-1 was performed by following exactly the same procedure as described for (*R,S*)-1. From 0.235 g (1.23 mmol) of (*S*)-(+)-1 was obtained 0.147 g (0.76 mmol, 62%) of (*S,S*)-(+)-*trans*-**2a**: mp 173–174 °C; [α]_D²⁵ +10.5°, [α]_D²⁵ 365° (both c 0.5, 95% ethanol); IR (Nujol) 3510 (s, OH), 3300 cm⁻¹ (br, m, OH); 100-MHz ¹H NMR (CDCl₃) δ 0.72 (d, *J* = 7 Hz, 6 H, C₈ and C₈-CH₃), 1.37–2.85 (m, 14 H, 6 CH₂, 2 CH), 2.13 (s, 6 H, 2 CH₃), 2.15 (s, 6 H, 2 CH₃), 4.65 (br s, 2 H, OH); mass spectrum, *m/e* 378 (M⁺). In all other respects this compound was identical with racemic *dl*-*trans*-**2a**.

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Registry No. *dl*-1, 60208-20-0; *d*-1, 60154-50-9; *dl*-*trans*-**2a**, 77059-39-3; (*S,S*)-(+)-*trans*-**2a**, 60208-21-1; *dl*-*cis*-**2b**, 77059-40-6; *dl*-**2c**, 77059-41-7; **6**, 77028-15-0; **7**, 77028-16-1; **8**, 77028-17-2; **9**, 77028-18-3; *dl*-**10**, 77028-19-4; *l*-**10**, 77059-42-8; **12**, 77028-20-7; **12** picrate, 77028-21-8; **13** (isomer 1), 77044-37-2; **13** (isomer 2), 77044-38-3; **14** (isomer 1), 77028-22-9; **14** (isomer 2), 77059-43-9; **15** (isomer

1), 77028-23-0; 15 (isomer 2), 77028-24-1; *dl*-16, 77028-25-2; *l*-16-(+)-DHAA, 77096-10-7; *dl*-16 acid chloride, 77028-26-3; *l*-16, 77059-44-0; 17, 77028-27-4; 18, 77028-28-5; 19, 77028-29-6; 20, 77028-30-9; 21, 77028-31-0; *dl*-23, 77028-32-1; *l*-23, 77059-45-1; *dl*-24, 77028-33-2; *l*-24, 77059-46-2; *dl*-25, 77028-34-3; *d*-25, 77059-47-3; *d*-26, 3886-69-9;

27 (isomer 1), 77028-35-4; 27 (isomer 2), 77028-36-5; *d*-28, 22038-87-5; 29 (isomer 1), 77028-37-6; 29 (isomer 2), 77028-38-7; *l*-menthoxyacetic acid chloride, 15356-62-4; *d*-camphor-10-sulfonic acid chloride, 21286-54-4; *d*-3-bromo- π -camphorsulfonic acid chloride, 72002-59-6; diethyl oxalate, 95-92-1; methyl iodide, 74-88-4.

A Procedure for Diethoxymethylation of Ketones¹

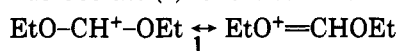
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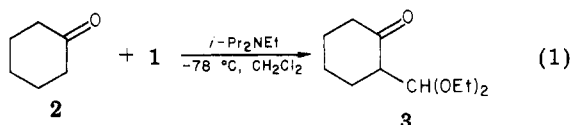
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Reaction of a number of ketones with diethoxycarbene fluoroborate in the presence of *N,N*-diisopropylethylamine at low temperature in methylene chloride results in a preparatively useful conversion to α -(diethoxymethyl) ketones. The method is compatible with arene, alkene, nitrile, chloride, and ester functional groups. With unsymmetrically substituted ketones, it is regioselective for the less substituted α -position. In favorable cases α,α' -dialkylation occurs. Conjugated ketones react normally at the saturated position adjacent to the carbonyl group. The mechanism of the reaction is considered.

Oxonium ions have unrealized potential as synthetic reagents. We describe an application of diethoxycarbene fluoroborate (1) for the conversion of aliphatic



and aromatic ketones to protected derivatives of the formyl ketone type. Our finding is that a variety of acyclic and cyclic ketones (e.g., 2) are transformed into β -keto acetals by 1 in methylene chloride at -78°C in the presence of *N,N*-diisopropylethylamine (eq 1). The reactant 1 is, of



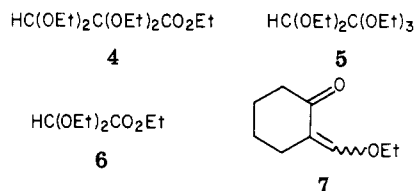
course, an analogue of the Vilsmeier reagent, a well-known formylating species,² and the transformation shown also has some resemblance to the Mannich reaction, with regard to functionalization of an unactivated ketone.

The characteristics of the new reaction are the subject of this investigation, which was undertaken because the product (3) appeared promising synthetically and because the procedure is simpler, milder, and more direct than other acid-induced formylation techniques.^{2,3} We report here the results of a modest examination of the scope and mechanism of this reaction.

Results

Description of Technique. The optimum method for carrying out the reaction of eq 1 was determined by systematic variation of experimental parameters. The preferred conditions in the case of cyclohexanone require the addition of 1 equiv of ketone to 2 equiv of in situ generated diethoxycarbene fluoroborate (from triethyl orthoformate), slurried in methylene chloride at -78°C with

efficient stirring, followed by dropwise addition of 3 equiv of *N,N*-diisopropylethylamine over the course of approximately 0.5 h. The product is subsequently obtained by an aqueous sodium bicarbonate quench followed by phase separation, acid washing, and simple distillation. Yields are generally acceptable (Table I), although the procedure was optimized only for cyclohexanone. Minor byproducts which have been identified are 4-7. The first three are



thought to arise from reactions involving diethoxycarbene, which previous work has suggested may be generated under the reaction conditions.⁴ (They are also produced when no ketone is present in the reaction mixture.) Formation of 7 (an elimination product of 3) may be avoided by exercising care in the acid extraction during workup of the reaction mixture. Under the procedure described, none of these substances amounts to more than a few percent of the distilled product. The hindered, nonnucleophilic base *N,N*-diisopropylethylamine, a fairly expensive but indispensable reagent for this transformation,⁵ is routinely recovered from the aqueous extracts of the reaction mixture.

Scope. The method has been applied to an illustrative selection of ketones. The results are summarized in Table I. Comments on the individual examples follow. (1) **Cyclohexanone.** The minor product results from further alkylation. A 2.5-fold increase in the amount of diethoxycarbene salt and amine diminishes the yield of the major product to 73%, while giving only 9% of dialkylation. (2) **Cyclopentanone.** Additional condensations are suggested by color development in the reaction mixture. (3) **Acetone.** Clean dialkylation occurs under the con-

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